BNY MELLON | PERSHING

NetX360®

FLEXIBLE TECHNOLOGY THAT WILL TRANSFORM YOUR BUSINESS

NetX360®

A SIMPLE, INTUITIVE AND PERSONALIZED TECHNOLOGY PLATFORM FOR ADVISORS

As a business-to-business provider, we keep you at the center of your clients' financial lives. Having an easy-to-use, secure, resilient and flexible technology platform is key to growing your business—so is having access to a diverse suite of products. Combine the two, and you have a winning solution: NetX360. The smart and convenient way to improve efficiency and productivity at your firm.

- Efficient single platform experience
- Configurable to the way you conduct business
- Flexible for native functionality or third-party providers
- Work smart by using workflows, rules-driven processing and alerts
- Accessible anytime, anywhere via NetX360 Mobile

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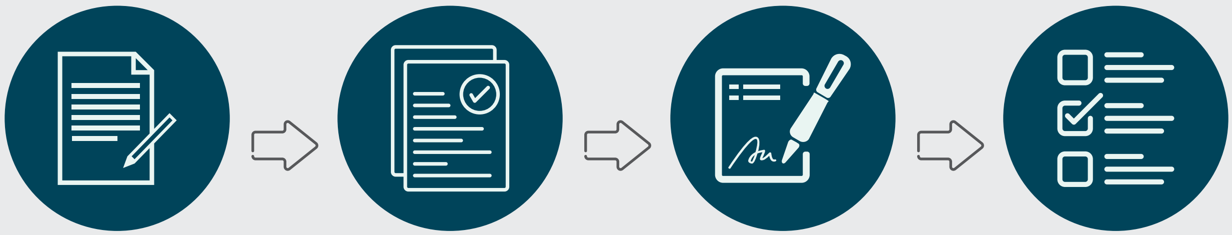
Digital Client Onboarding



CLIENT ONBOARDING/NEW ACCOUNTS

- With NetX360, you have a single account opening platform for all business lines, including brokerage, bank custody and managed

ASSET MOVEMENT

- Quick and easy money movement

PAPERLESS EXPERIENCE

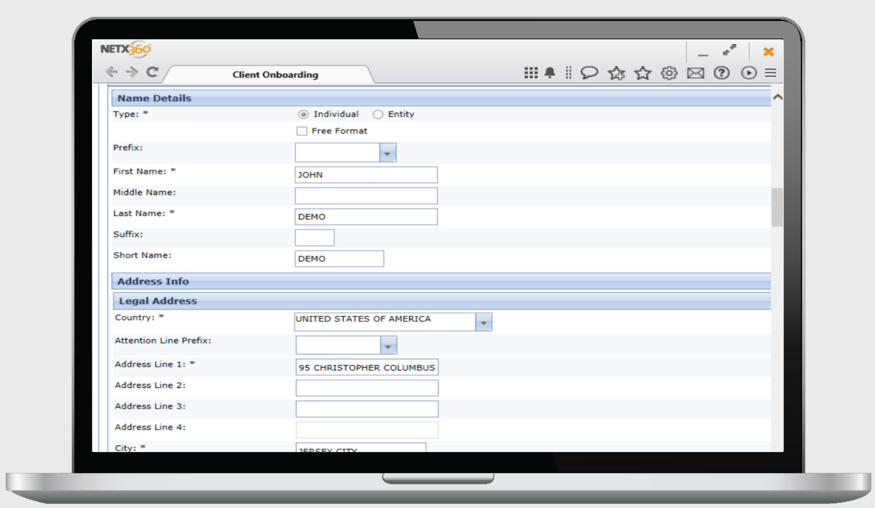
- Pre-populate data from source systems, NetX360 or your own CRM with a wet or digital signature via the SIGNiX integrated eSignature experience
- With e-Delivery, investors can receive statements, confirmations, shareholder information, account notifications and letters online to eliminate mail to their home and expedite access

EFFICIENCY AND AUTOMATION

- Integrated document management and managed account proposal systems
- Automate additional steps, such as account funding, incoming transfers, stating instructions and periodic instructions

TRANSPARENCY

- Real-time alerts provide up-to-date account status
- Full audit details of the entire workflow



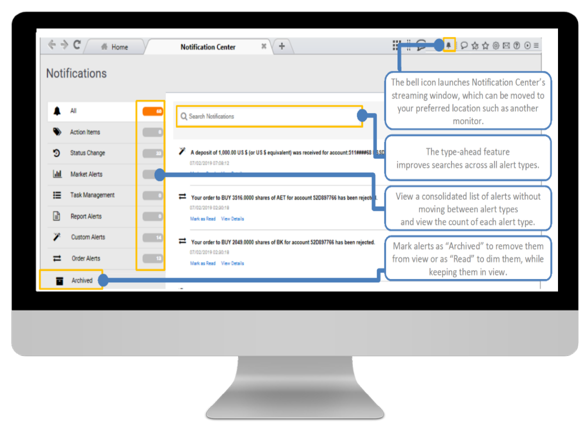
Increased Operational Efficiency

WORKFLOWS

Advisors use our workflow to support their business process lifecycles, including account opening and money movement. This functionality provides rules-based exception monitoring and a fully transparent audit trail.

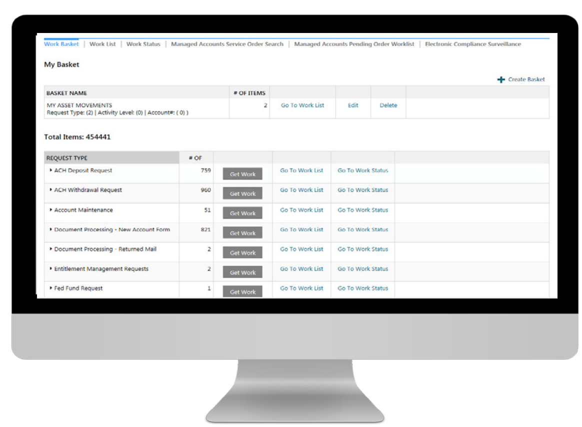
ALERTS

Advisors can subscribe to real-time alerts and notifications.



WORK BASKET

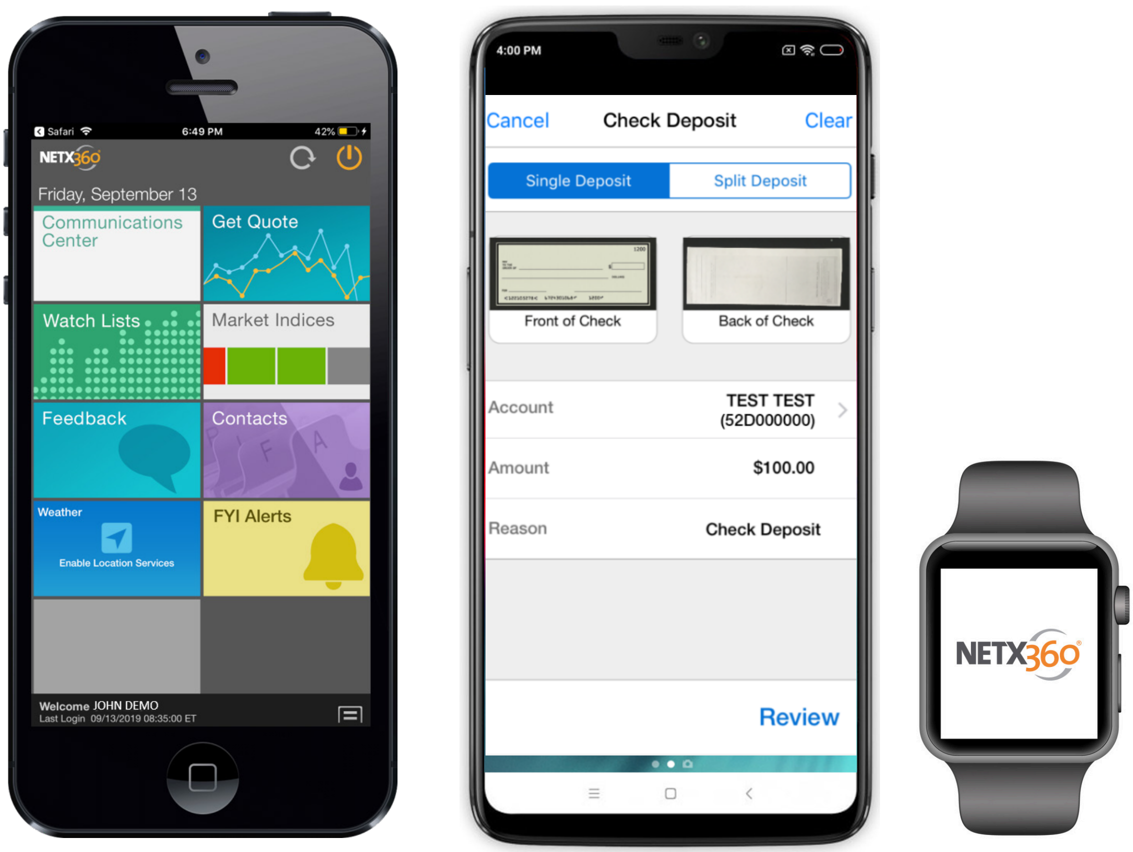
Work Basket provides a dashboard of work items you are entitled to process, with quick links to push work items, or view in a list.



NetX360 Mobile

Anytime, anywhere access to market-leading tools and experiences:

- Fund accounts quickly with mobile check deposit
- Receive alerts and notifications while away from the office
- Update client information and maintain accounts
- Submit orders or transfer money on behalf of clients
- Upload and share documents as they are received



Managing Assets

Pershing has a number of solutions for advisors to manage client assets globally by facilitating multi-currency trading and reporting. From investment solutions and trading tools to premium content and lending solutions, Pershing is a valued partner in every step.

Managed Accounts

The fully integrated managed accounts technology within NetX360 supports mutual fund/exchange traded fund (ETF) wrap accounts, separately managed accounts (SMA), unified managed accounts (UMA) and rep advised programs.

INVESTMENT SOLUTIONS

Pershing brings innovative investment solutions and strategies to market quickly—without the costs and delays of in-house development.

FundCenter® offers 28,000+ funds and FundVest® offers access to 8,000+ no-transaction-fee (NTF) mutual funds.

ETF Center provides searchable data on over 2,100 Exchange-Traded Funds (ETFs) and Exchange-Traded Notes.

Alternative Investment Center provides access to over 2,000 alternative investments, including no-transaction-fee funds for retirement and non-retirement accounts.

Subscribe® has real-time order entry for new and subsequent annuity purchases in approved or pending brokerage/managed accounts.

Fixed Income Center includes BondCentral®, an interactive, online fixed income trading platform that includes market data, news and research.

529 Center has a complete package of tax-advantaged open-architecture solutions, educational resources, marketing communications and online calculators.

TRADING TOOLS

Simplify the way you do business with tools that enable you to buy and sell:

SINGLE ORDER ENTRY	BLOCK TRADING AND REBALANCING
• Options	• Maintain single or multi-sleeve models
• Mutual Funds	• Rebalance unlimited number of accounts
• ETFs	• Add/trim security positions
• Fixed Income	• Account liquidations
• Alternatives	• Drift Monitoring and Alerts capabilities
• International	• Household Rebalancing

Single Order Entry offers a simple tool to quickly execute an order.

Block Trading and Rebalancing capabilities make it simple to create, maintain and monitor multiple models—allowing you to assign, rebalance and process trades across multiple accounts at once.

PREMIUM CONTENT

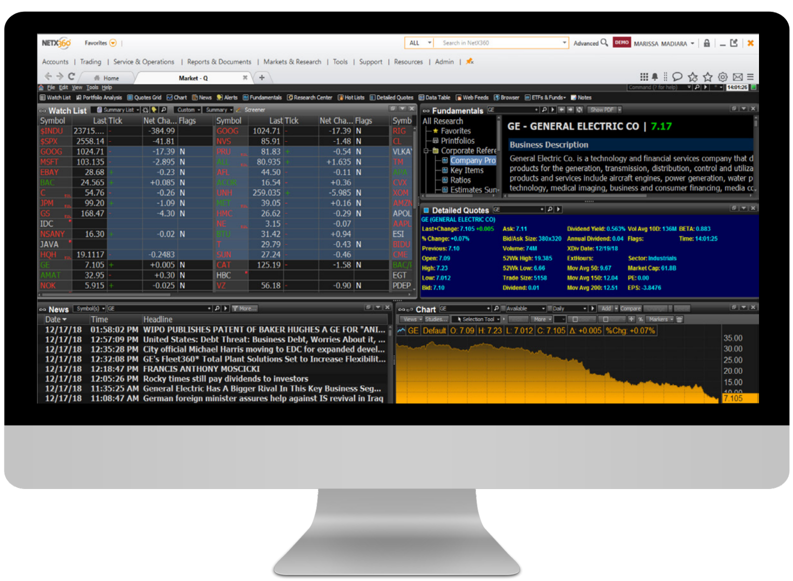
Access industry leading content in a seamlessly integrated environment. Our network of premium content providers includes:

MARKET DATA AND NEWS

ICE Data Services, Refintiv, MT Newswires, Dow Jones

RESEARCH

Argus, Credit Suisse, Market Edge, Morningstar, CFRA, Thomson Reuters, Markit Consensus, Blue Vault Partners, Lipper

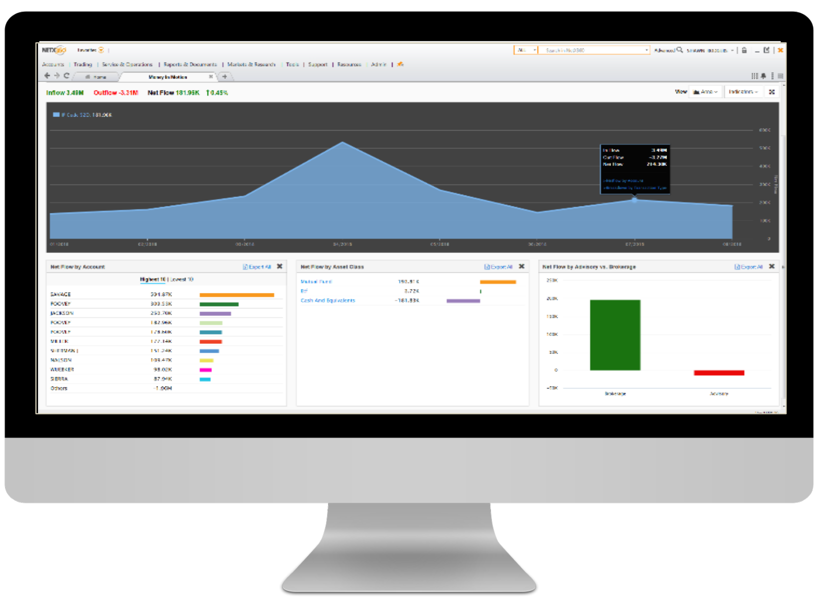


Managing Your Business

NetX360 allows you to easily generate client reports and tailor them based on specific account information, and perform quick and efficient analysis—all enhanced with user-friendly graphics and charts. Real data is used to provide actionable insights.

Dashboard Insights provide performance measurements across your firm.

NetX360 Analytics shows money in motion and allows tracking net new asset flows.

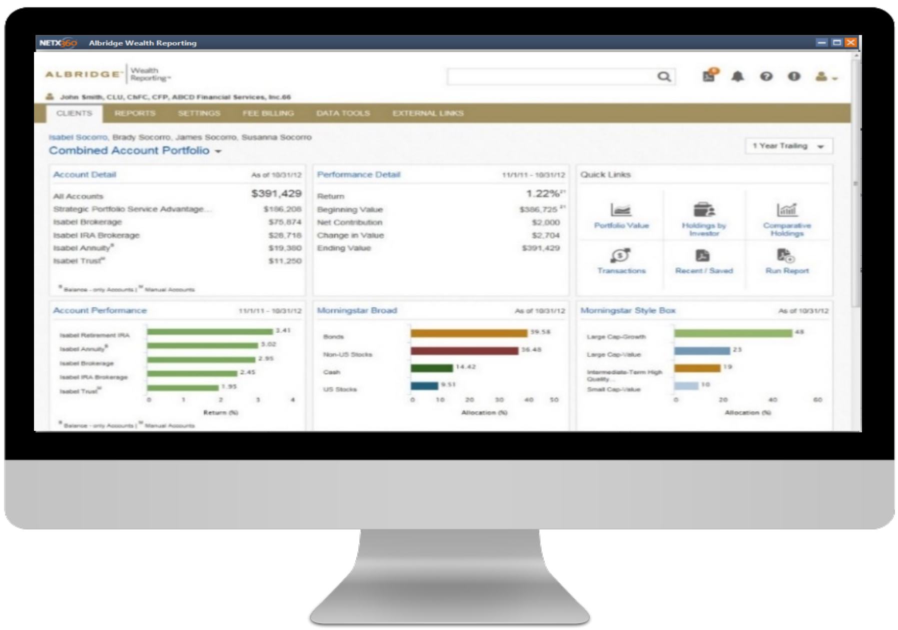


Holistic Views of Your Clients

Through integration with Wealth Reporting and Insights, NetX360 provides consolidated client account data from hundreds of diverse sources (proprietary feeds, banking, brokerage, insurance, retirement, managed accounts, alternatives and trusts).

Wealth Reporting and Insights can quickly produce and distribute consolidated client performance reports that cover performance, asset allocation, holdings, transactions, benchmarking, cost basis and more.

In addition, more than 50 performance reports provide on-demand, point-to-point reporting with the ability to automate delivery of reports to clients.



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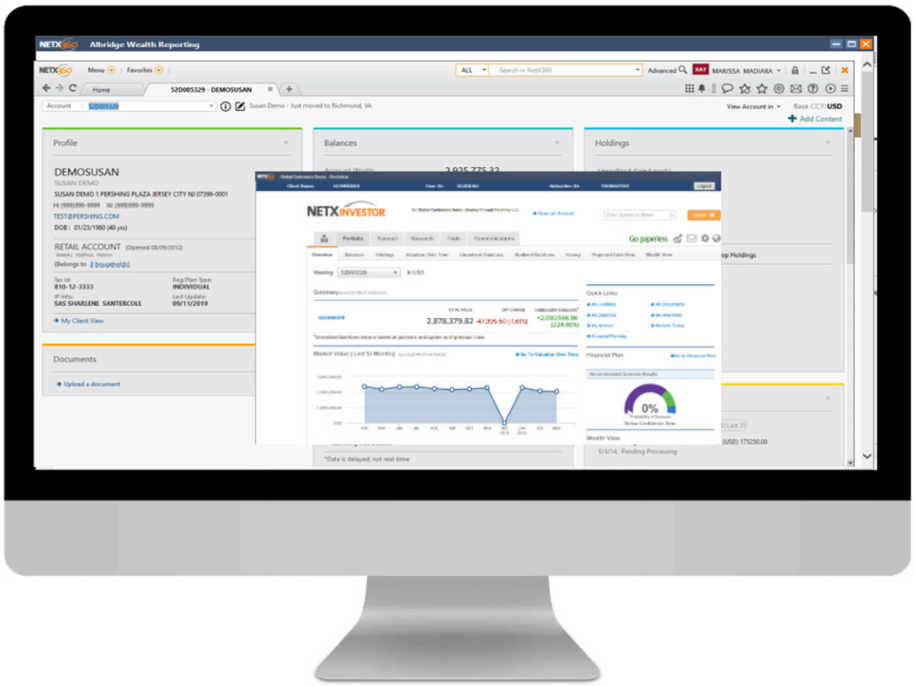
MY CLIENT VIEW

My Client View gives clients the ability to view their portfolio and access important information about their investments. This customizable portal is accessible by both the client and advisor, and with both seeing the very same thing advisors have the opportunity to walk through it step-by-step virtually with clients.



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An Advisor-Led Experience

Solutions that range from custody and brokerage services to advisory and wealth planning, NetX360 offers a comprehensive platform for managing your business. Integrated data and analytics tools, as well as access to leading market research, help you stay on top of your game to make sure you are providing forward thinking and advanced guidance for your clients.

Portfolio Solutions

- Account Workbooks
- Householding
- Balances
- Holdings
- Activity
- Asset Allocation
- Projected Cash Flow
- Order Trade/ Status
- Documents
- Notes

Trading Solutions

- Equities/ETFs
- Mutual Funds
- Fixed Income
- Options
- Complex Options
- Annuities
- 529s
- International
- Single Order Entry
- Block Trading and Rebalancing

Service and Operations

- Client Onboarding
- Items for Attention
- Workflow
- Service Center
- Document Management

Advisory Solutions

- Strategic Proposal System
- Model Management Tools
- Block Trading and Rebalancing

Wealth Planning

- Aggregation
- On-Demand Performance Reporting
- QPR
- Financial Planning

Data and Analytics

- Report Center
- Dashboard Insights
- Business Performance
- NetX360 Analytics/ACAT Dashboards
- Opportunity View

Market Research

- Aggregated Content
- Real Time Quotes
- Delayed Quotes
- Streaming Quotes
- News
- Scanning Tools
- Screeners

FOR MORE INFORMATION

NetX360 provides the tools you need to manage your business in a secure and easily accessible environment. To learn more, please contact your Relationship or Account Manager.

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pershing.com

One Pershing Plaza, Jersey City, NJ 07399

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